Docket No.

241680US2SRD

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Akiko HIRAO, et al.

SERIAL NO: New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

DATA STORAGE MEDIUM AND DATA STORAGE APPARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland Registration Number 21,124

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Docket No.

241680US2SRD

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Akiko HIRAO, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith **EXAMINER:** 

FOR:

DATA STORAGE MEDIUM AND DATA STORAGE APPARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913 C. Irvin McClelland

Registration Number 21,124

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

# **LIST OF RELATED CASES**

	Serial or	Filing or	Inventor/		
Docket Number	Patent Number	Issue Date	<b>Applicant</b>		
0039-5546-0 SRD	5,800,950	09/01/98	HIRAO et al.		

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.		SERIAL NO.							
		241680US2SRD		New Application							
		APPLICANT									
LIST OF REFERENCES CITED BY APPLICANT			Akiko HIRAO, et al.								
				FILING DATE		GROUP					
				Herewith							
U.S. PATENT DOCUMENTS											
EXAMINER		DOCUMENT	T			SUB	FII	ING DATE			
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS   IF APPROPRIATE					
	AA	5,800,950	09/01/98	Akiko HIRAO, et al.							
	AB										
	AC										
	AD										
	AE										
	AF										
	AG										
	АН										
	Al										
	AJ										
	AK										
	AL										
	AM										
	AN										
	<u></u>		FO	REIGN PATENT DOCUMENTS							
		DOCUMENT	DATE	COUNTRY		TRANSLATION					
		NUMBER	DATE	COUNTRY		YES		NO			
	AO	6-55901	07/27/94	Japan				X			
	AP	6-175167	06/24/94	Japan				X			
	AQ	5-80687	04/02/93	Japan				х			
	AR										
	AS										
	AT										
	ΑU										
	AV										
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)											
	AW							,			
	AX										
	AY										
	AZ			Additional References sheet(s) attached							
Examiner				Date Considered							
*Examiner: Ir	nitial if r	reference is considered ot considered. Include of	, whether or no	ot citation is in conformance with MPEP 60 n with next communication to applicant.	09; Draw li	ine through	citation	if not in			